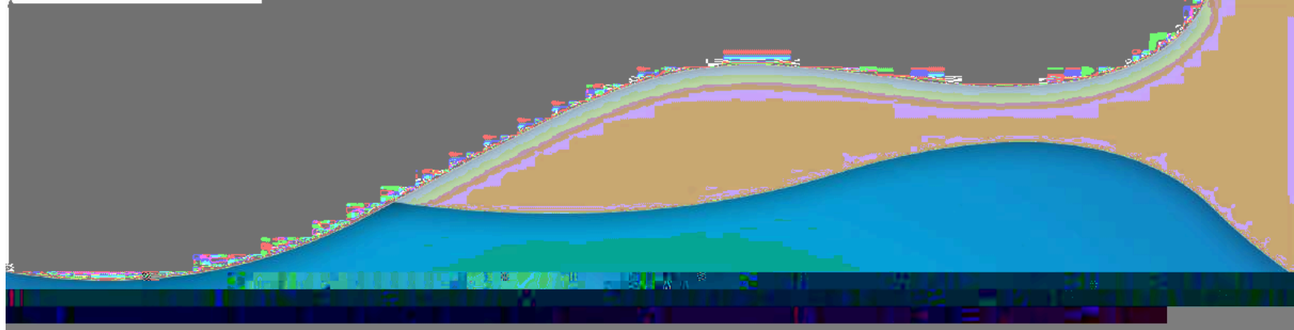


About the Report

Scope of Report

Since the first CSR report was released in 2016, this Report is the sixth report released by Zhejiang Huayou Cobalt Company Limited (Group). The information and data in this Report mainly cover various practical activities related to corporate social responsibility and carried out by the Company from January 1, 2020 to December 31, 2020. Based on the continuity and contrast of the report, some data and information are not limited to the year of 2020. There are no significant changes in the scope and aspect boundaries of this Report compared with the fifth report released in May 2020.

Preparation Principles

This report is prepared with reference to the GRI Standards issued by the Global Reporting Initiative (GRI), and the Environmental, Social and Governance (ESG) Reporting Guide, by combining the United Nations Sustainable Development Goals (UN SDGs) and ISO26000/GB/T36000-2015 (Guidance on Social Responsibility). This report conforms to the relevant requirements stipulated in the Notice of Shanghai Stock Exchange on Strengthening the Social Responsibility Assumption of Listed Companies and Issuing the Guidelines for Environmental Information Disclosure of Listed Companies released by Shanghai Stock Exchange.

Content Selection

In the process of compiling this Report, the Company follows the principles of stakeholder participation, sustainable development background, materiality, integrity, balance, comparability, accuracy, timeliness, clarity and reliability of the GRI Standard, and makes statements from three aspects of economy, society and environment. This Report also follows the reporting principles of ě fol Ebafor ıdkı A MıMl ı ᵐ Ö Q ᵀ ᵀ ᵠ ᵬ l ᵀ p k ώ v̌ ≯ e
rem ᵀ ź zThis B. M

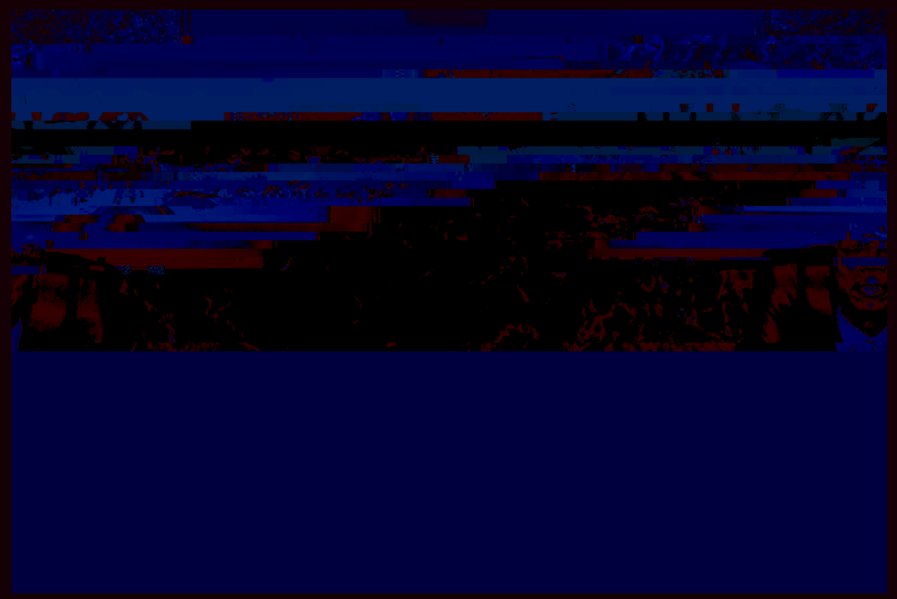
03
09
19
29
39
47

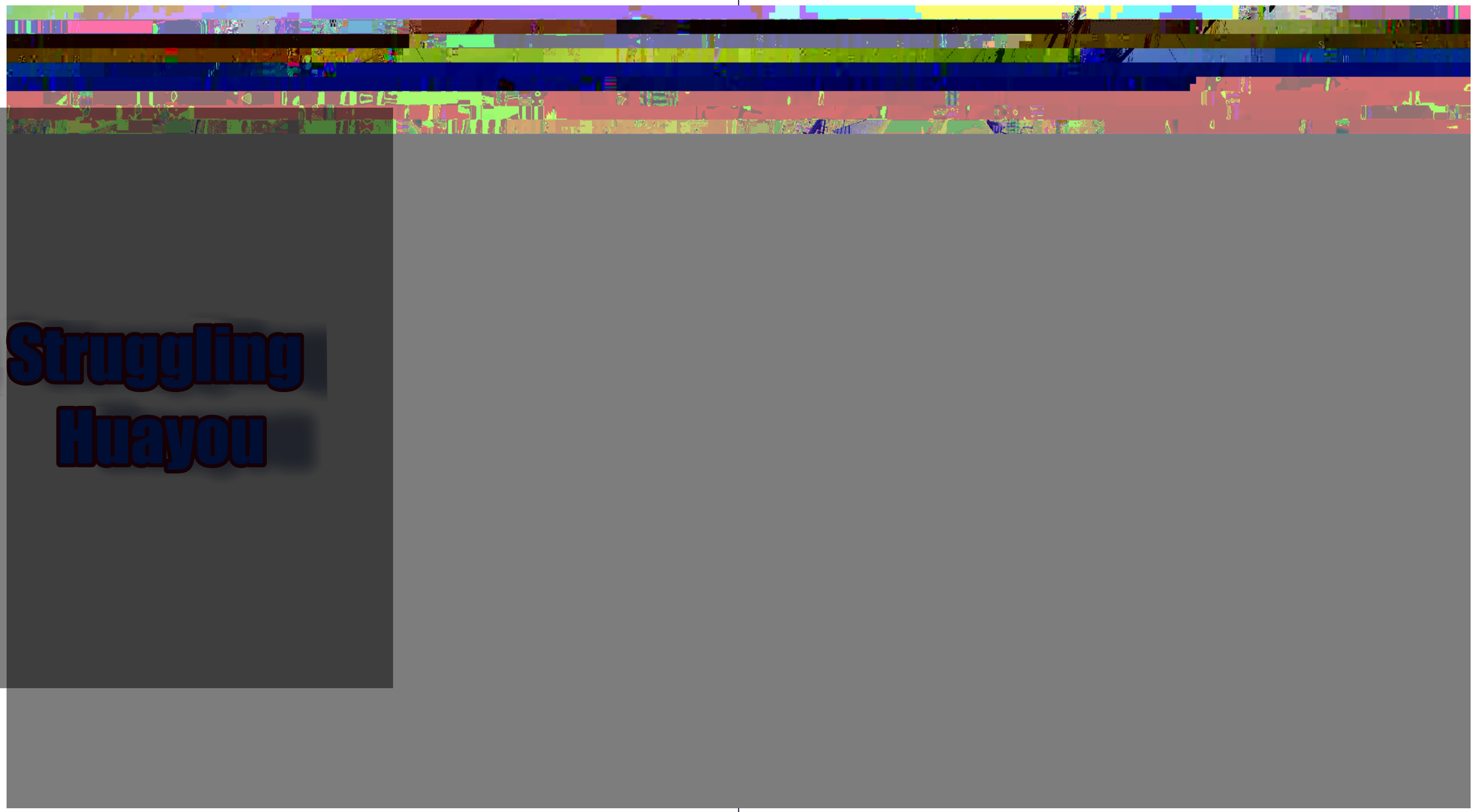
Chairman's Speech

Striving for a New Pattern of High Quality Development of Lithium Battery Materials in China

The year of 2020 is an extraordinary year and a fruitful year for the striving people of Huayou Company.

At the beginning of 2020, the sudden COVID-19 swept the world and brought great influence to people's life as well as the

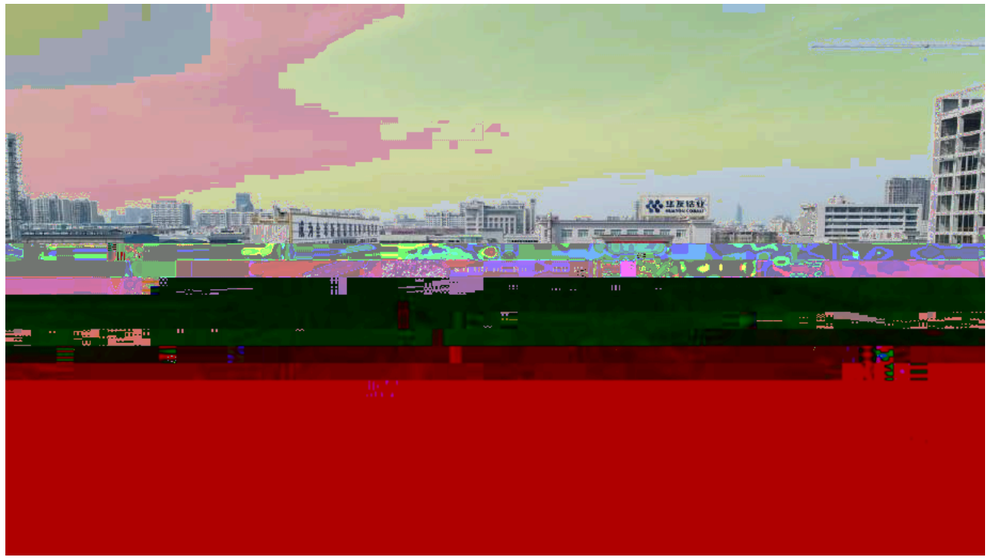




Struggling Huayou

Struggling Huayou

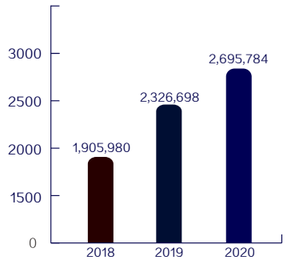
Zhejiang Huayou Cobalt Company Limited was founded in 2002, is headquartered in Tongxiang Economic Development Zone, Jiaxing City, Zhejiang Province. Huayou Company is a high-tech enterprise dedicated to manufacturing lithium battery new energy materials, deep processing of cobalt new materials, and mining, processing and metallurgy of such non-ferrous metals as cobalt, copper and nickel. The main products of Huayou Cobalt Company (including holding joint ventures) are lithium battery cathode materials, precursors of lithium battery cathode materials, cobalt chemicals and the such metals as copper, nickel and so on, including cobalt tetroxide, cobalt sulfate, nickel sulfate, cobalt chloride, cobalt hydroxide, electrical copper, electrical cobalt and so on. The Company's products are mainly used in new energy electric vehicle industry, aviation industry, ceramic industry, chemical industry and so on. The Company was listed on Shanghai Stock Exchange in 2015 with stock code 603799. Zhejiang Huayou Holding Group Co., Ltd. is the largest shareholder of the Company, and GREAT MOUNTAIN ENTERPRISE PTE. LTD. is the second largest shareholder of the Company, these two shareholders are persons acting in concert.



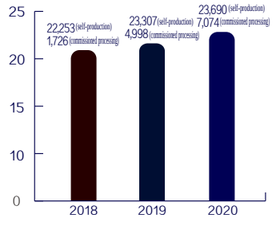
After nearly 20 years of development and accumulation, the Company has completed the spatial layout of headquarters in Tongxiang, resource overseas in Africa (mainly in Congo (DRC)) and Asia (mainly in Indonesia), marketing bases in Quzhou and Guangxi, and market in the world, and has formed the vertical and integrated industrial ecosystem that takes its own natural resources as the guarantee, cobalt new materials and nickel products as the core, copper products as the auxiliary, integrates mining, beneficiation with metallurgy, and deep processing of new materials and recycling of resources.

With the mission of creating value for the customers and leading industrial development, the Company is committed to the transformation route of controlling resources on upstream, expanding market on downstream and improving capacity on midstream. The Company adheres to the development strategy of new energy, lithium battery materials and cobalt new materials, industrial promotion, globalized products, and international operation, and is committed to becoming a global leader in new energy lithium battery materials. (This is the Company's vision).

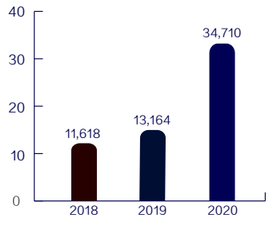
Business Review, 2018-2020



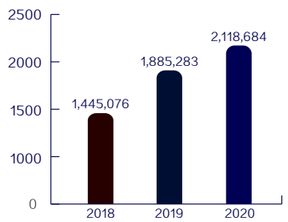
Total asset
(RMB ten thousand)



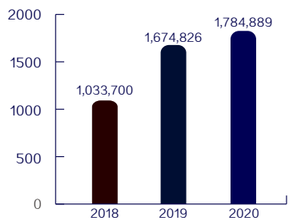
Cobalt products
(ton of metal)



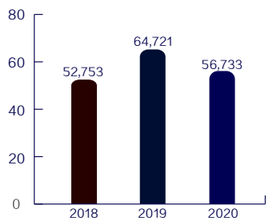
Ternary
(ton of physical quantity)



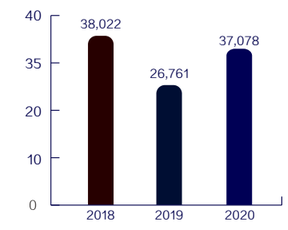
Operating income
(RMB ten thousand)



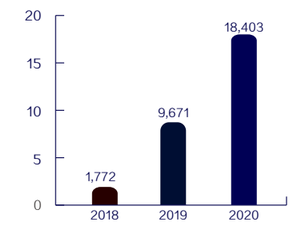
Total operating cost
(RMB ten thousand)



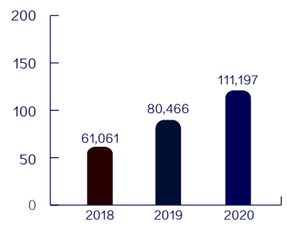
Total taxes
(RMB ten thousand)



R&D expenditures
(RMB ten thousand)

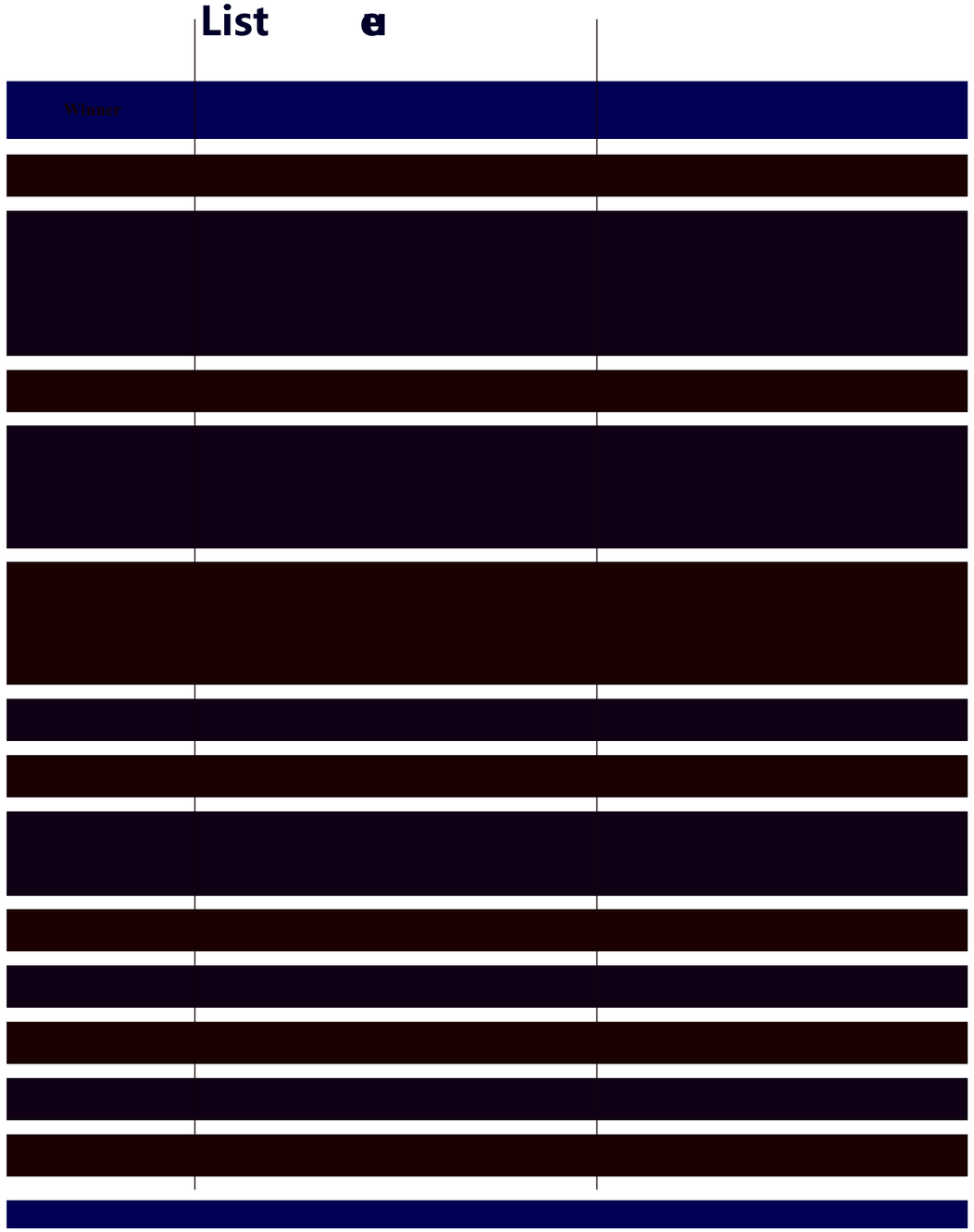


Government incentive and support funds
(RMB ten thousand)



Total employee compensation
(RMB ten thousand)

List



Management Method

Organizational structure

Management system and membership

The Company maintains the corporate social responsibility management system, and supplements and supports it with such management systems as environmental management system, occupational health and safety management system, intellectual property management system, energy management system, quality management system, etc. Through the management system, it can deepen the systematic management concepts, promote the corporate social responsibility culture building of the Company. Through the cultivation of internal personnel, it has built a favorable implementation team. In 2020, Huahai New Energy Company has passed the IATF16949 certification.

SWOT ANALYSIS

For the sustainable development, the Company has its own advantages and disadvantages, the existing challenges and opportunities can be also found.

Strengths

1. With the integrated industry, it is convenient for effective and rapid resource mobilization;

2. Sufficient resources can be guaranteed.

Weaknesses

1. The energy consumption of production is large and the renewable resources available are limited;

2. For rapid development and growth, the ability of managers has not kept up.

Opportunities

1. The government and customers attach importance to carbon emission. The Company has attached importance to the issue of climate change long ago, taken the initiative to carry out product carbon emission and organized carbon emission research and data collection;

2. Sale of fuel vehicles will be prohibited gradually, and new energy vehicles will be increased gradually.

Threats

1. The ability of some suppliers in the upstream of the supply chain is insufficient, and the awareness of corporate social responsibility is weak, so it is difficult to achieve the Company's expectations in a short time;

2. The standard of corporate social responsibility of cobalt industry is not mature, but more satisfactory results are sought. The situation of the Company's supply chain is more complex than that of most of its peers, and it is difficult to meet the requirements of the industry in a short time.

With the simple SWOT analysis, it also makes the Company to more comprehensively understand itself in the aspect of sustainable development, and makes full preparations for overcoming potential threats. In 2020, under the complicated social responsibility standards of cobalt supply chain enterprises, the Company has clearly realized that this is an opportunity to make the whole industry benefit. All of this industrial chain shall understand each other and work together to formulate practical access standards as the entry point, avoid just pursuing high standards and strict requirements, it shall seek the requirements that can be achieved by most of participants in all links of the industry and then gradually improve such requirements, thus it can form effective and practical standards. In 2020, the Company also clearly recognizes the significant impact of climate change on human beings. How to understand its carbon footprint and how to understand the product life cycle will also be a great opportunity for the Company to contribute to the sustainable development of the world. In the face of rapid development, the Company will continue to be people-oriented, consider for the growth of employees and for the Company's sustainable operation, accelerate talent training, and provide more employment opportunities. The Company can not ignore its own advantages, will always guarantee the safety of resources, provide responsible raw materials, expand and strengthen its own industrial chain and make greater contribution to the local economy.

Morality and honesty

The Company has always adhered to the highest business ethics, established the Code of Business Morality and Behavior, provided moral guidance for all employees, and set up a series of supporting procedures and regulations to regulate the behaviors of employees, to avoid conflicts of interests, collusion inside and outside, bribery, corruption, privacy leakage, identity protection loss, hidden danger of information security, unfair competition and growth act of violating laws and regulations (such as conflict or terror, retaliation and so on). The Company has established a social responsibility office to interpret and educate the Company's business morality standards, and understand the basic situation of implementation through internal audit. In addition, the Company has established audit and supervision department, this department and the social responsibility office are directly subordinate to the Group CEO. This department is responsible for the overall management of internal audit and clean work supervision of the Group, supervises and inspects the Company's clean work building and anti-corruption management, the performance of duties and power exercising of important posts and leading cadres. In 2020, 12 reports and investigations have been handled, one investigation involved corruption and treatment has been completed. In the two audits on preventing conflicts of interest in 2020, no the Company’s cadres directly or indirectly participated in the relevant suppliers, and no unqualified suppliers were found.

Reporting channel:

① Report letter: audit and supervision department of Zhejiang Huayou Cobalt Company Limited.
No. 18 Wuzhen East Road, Tongxiang City, Zhejiang Province;

② Report telephone: 0086-0573-88589103;

③ Email: report@huayou.com ;

④ Report box: open the box once a week (except holidays), and the opening time is 9:30-10:30 a.m. on every Monday.

Complaint channel:

In China

① Tel: 0573-88589950

② Email: CSR@huayou.com

③ Address: Huayou Cobalt Company Limited. (Social Responsibility O ce)
No. 18, Wuzhen East Road, Tongxiang City

In Congo (DRC)

① Tel. +243841206837

② Email: RSE@huayou.com

③ Address: Likasi Road, Ruosit Block, Anakos District, Lubumbashi, Haut-Katanga
CDM (Social Responsibility O ce)

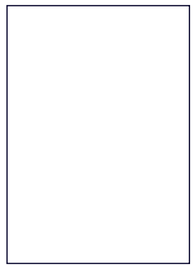
The Company clearly stipulates that all information of the report or complaint shall be strictly confidential, anonymous report or complaint is allowed, and such acts as disclosure, investigation obstruction and retaliation will be severely punished. After verification, the informer or the complainant will be rewarded according to the value of the infringement, and the personal information shall not be disclosed without the permission of the informer or the complainant.

Morality and honesty

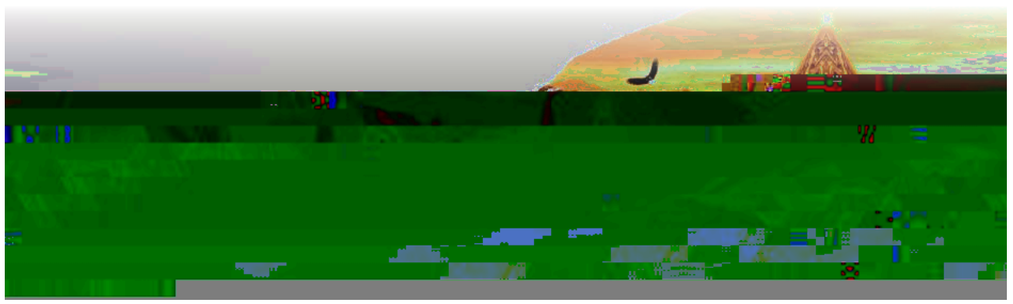
Being a company is to be a person. The quality of the product is the quality of the company. We not only provide good products, but also make a commitment to whether the products are responsible or not.

In 2020, Quzhou Huayou Company and Huahai New Energy Company continued to promote the quality policy oriented by customer demand. On the basis of steadily advancing ISO9001 quality management system, they passed the IATF16949:2016 automobile industry quality management system certification issued by the third-party certification agency, strictly, systematically and comprehensively manage every link of product realization, and carry out all quality work solidly. For the key processes and key links affecting product quality, the key monitoring and testing are implemented to ensure that each link can be effectively monitored.

The Company follows the principles of sincer n`yn jea nwnj



Year	2018	2019	2020
Customer satisfaction index	82.28	83.56	83.72



st kes ȳ Mke ke ȳ FM ȳ Mke

Research and development results and intellectual property protection

New process and technology of green smelting

Stakeholder participation and substantive analysis

A Platform for Achievement

The Company always adheres to the principles of "equality, fairness and justice" to provide equal employment opportunities for personnel of different nationalities, nations, races, skin colors, genders, ages and educational backgrounds, and does not discriminate on the basis of religion, disability, marital status, sexual orientation, discriminatory medical examination, membership of trade union or political parties. The Company provides jobs for 8079 employees from 6 different countries, with different skin colors and from 19 different nations, respects and protects labor rights, strictly abides by international conventions, national and local laws and regulations, and establishes a standardized employment system.

The Company respects the right of employees to make their own choices. When the Company publishes recruitment advertisements, the practical and realistic principle are followed. In the process of employment, the Company does not force labor, nor charges any service charge or deposit, nor forces labor to do things against their will. The Company establishes labor relations with employees on the principles of freedom, equality, voluntariness and fairness, and signs labor contracts with employees by 100% within the legal time.

The Company only employs persons who meet the age requirements, strictly forbids child labor, does not use debt (including debt slaves), slavery, prison or contract labor, and firmly resists human trafficking. In 2020, the Company did not employ or wrongly recruit child labor, did not have forced labor or slaves. There have been no discriminatory incidents in the Company.

The Company arranges the work and rest of the employees according to the local regulations, and also provides considerable income and benefits for the employees to create conditions for them to work with dignity.

Statistics of human resources in 2020

Item	The year of 2020
Total number of employees (person)	8079
Number of male employees (person)	6978
Number of female employees (person)	1101
Percentage of R &D personnel	2.07%(167)
Age structure analysis (under 30/30-39/40-49/over 50)	2388/3221/1865/605
Number of ethnic minorities (China)	19
Localization rate of overseas employees (Congo employees/total African employees)	79.81%（2328/2917）
Number of countries covered	6
The percentage of female managers in middle and above management	1%（48/484）
ercentage of personnel with di erent academic backgrounds (Ph.D./Master's degree/undergraduate/junior college/high school and below)	22/297/1704/1543/4513
Percentage of disabled persons	1:8079
Percentage of foreign employees	31%（2508/8079）
Number of new employees	1809

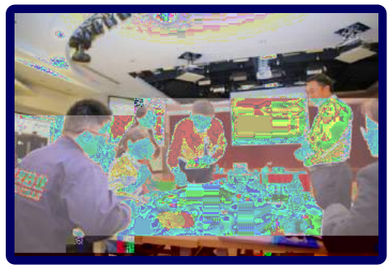
Talent cultivation and development

Huayou Management College, as the cradle of talent cultivation in the Group, undertakes the important functions of the Group talent cultivation, cultural inheritance, knowledge creation and sharing and management research. The College has made full use of the training resources of the College, industrial group and Group functional departments, established a talent cultivation system covering all employees, and cultivated and built a professional and technical teams and a cadre team that are loyal to the Company, united and striving for victory. The College will follow the tide of the times in the future, and build itself into an enterprise university with certain influence and popularity in the new material industry.

Statistics of 2017-2020 training performance data

Item				
Employee training hours (H)	73,551	227,852	116,214	89767
Employee training investment (1000 Yuan)	199.8	198.2	145.4	250.6
Types of training courses (types)	838	1,770	1,877	2876
Percentage of employees receiving performance and career development appraisal (%)	100%	100%	100%	100%

In 2020, the total investment for training of Huayou Company is 2.506 million yuan. There are 2876 types of training courses. It aims to create a good learning atmosphere in the forms of diversified training and learning activities, such as classroom teaching, seminar, action learning, outward bound training and coaching. It promotes the regular teaching and textbook compilation by the management cadres and excellent business backbones, it gives full play to their "instructing, helping and guiding" role, and establishes a learning organization. Combining credit system management with online and offline training, it provides a strong guarantee for training resources and practices the talent cultivation concepts of common growth and development of the Company and employees.



Training of improving o cial document writing ability

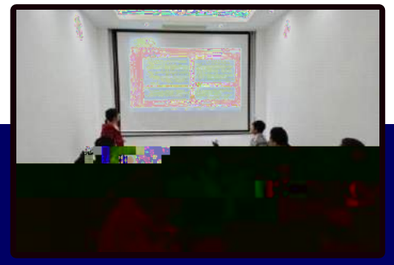
Safety training of the Company

Special training for cadres' soul building and empowerment

Credit program training for Rukuni buyer

In 2020, in order to encourage most excellent technical talents to stand out, the Company strengthens the building of professional and technical talents team, provides more career development opportunities for employees, and continuously promotes the building of post qualification system. Based on the ability improvement and skill identification, the Company continuously improves the ability improvement path of professional and technical personnel, opens up the career development channel of technical personnel. The employees can be fully aware of their own gap and improvement direction, also clarify the future role positioning. This effectively leads the development of employees' professional ability.

In terms of external professional titles, the Company organized external professional title application for 64 persons, including 36 assistant engineers, 18 engineers and 10 senior engineers; in terms of internal professional titles, the Company organized professional title application for 421 persons, and 265 of these persons passed the review (including 5 persons with senior professional title, 8 persons with senior-intermediate professional title, 102 persons with intermediate professional title and 150 persons with assistant professional title); in terms of position and rank promotion, 496 applicants passed the position and rank promotion review, their positions and income have been improved.

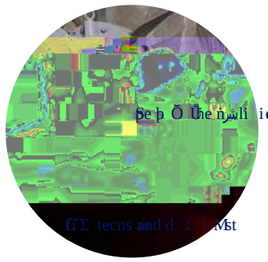


Defense meeting for position and rank promotion

Defense meeting for technical title appraisal

The Company has continued to optimize the standard building and implementation for skilled personnel in front-line posts, further improved the career development channels and position qualification standards of front-line posts, standardized the assessment and evaluation mechanism of skill level for front-line posts, and also strengthened the standard implementation training to promote the steady growth of skilled personnel. In 2020, 1594 persons have applied for skill standard implementation and 1356 persons have passed the examination and certification (including 177 persons for junior level one, 348 persons for junior level two, 323 persons for intermediate level one, 340 persons for intermediate level two, 92 persons for senior level one, 65 persons for senior level two, 10 persons for technician level one and 1 person for senior technician level one).

Theoretical training spot for skills standard implementation



Spot for practice of skills standard implementation

Spot for skills standard implementation test

Through five years of practical exploration, the Company has establishe

New force army-university/college students

Cadre management system

In 2020, the Company launched the implementation project of the cadre management system, consolidated and improved the achievements of the first phase of the cadre management system project, and achieved the goals of "unified management language, implementation of management system, and innovative application of management mechanism". The project achievements cover five modules, namely the publicity and implementation of the first phase project achievements, switching of position and rank system, application and improvement of system implementation, talent inventory pilot mechanism and normalization mechanism of cadre self-criticizing. This has laid a solid foundation for the establishment of the cadre management system.

In 2020, the Company launched the first phase of Huayou strong team cultivation plan. 100 grass-root cadres, 50 middle-level cadres, 20 general managers of subsidiaries/large-scale construction projects, functional leaders and other high-level cadres have been selected to participate in the empowerment project.

Through the internal promotion mechanism, the Group selected 9 senior management and above cadres, 79 middle-level cadres and 181 grass-roots cadres, and transferred 269 management talents to all levels, so as to ensure talents for the Company's business development. All newly promoted cadres shall be included in the term management assessment.

Salary and benefits

Salary growth rate of grassroots employees in 2020: 4.5%

Data statistics table of employee compensation and benefits performance

Item	2017	2018	2019	2020
Salary growth rate of grassroots employees (%) (excluding employees of Congo)	11.63%	15%	10.60%	4.5%
Basic salary ratio between men and women for the same position	1:1	1:1	1:1	1:1
Labor contract signing rate of employees (%)	100%	100%	100%	100%
Coverage rate of five insurances and housing provident fund for Chinese employees (%)	100%	100%	100%	100%
Welfare coverage rate for Congo employees (%)	100%	100%	100%	100%

Africa region　Introduction to employee training

In 2020, through the further improvement and optimization of the training system, the African region draws up the implementation plan of employee training in different levels and categories. Combined with the domestic training resources, the African region adopts the forms of spot training, video lectures, case discussion, scene simulation, sand table drill, etc. to make the training methods more diversified and interesting. A total of 22 training sessions have been organized throughout the year, with 238 employees participating in the training. While effectively improving the comprehensive business ability of employees, it can further promote the teaching experience and ability of internal trainers, strengthen and activate the benign exchange of business experience and ability level within the Company.

Epidemic prevention knowledge training for local employees in Africa region

CDM safety operation procedure training

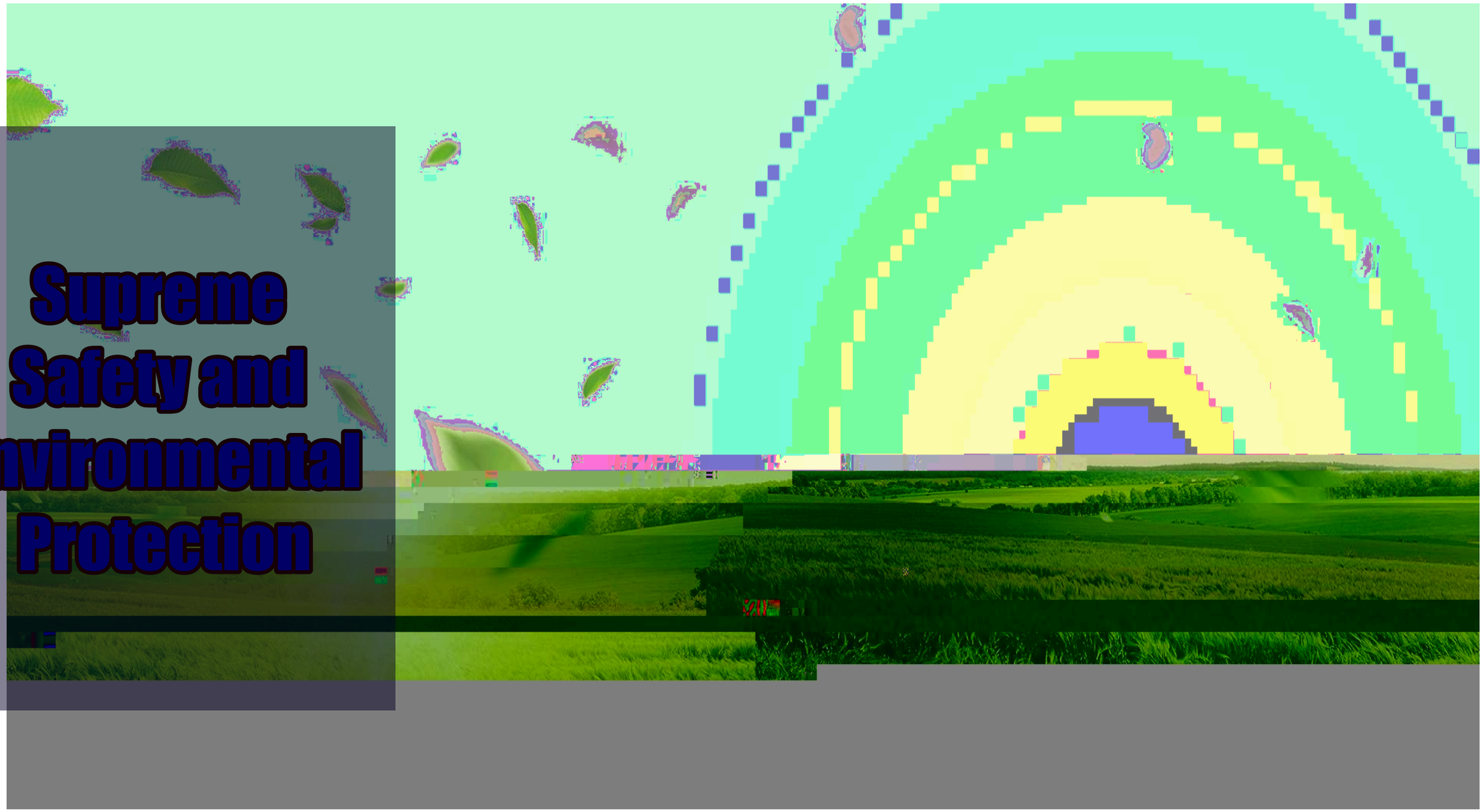
Introduction of post qualification system, employee career development channel and learning platform

The Company pays attention to the localization building work, pays attention to the use and training of local employees in the Congo (DRC), and gives full play to the role of local management and key employees in the Company's operation and management. Combined with the characteristics of overseas human resources, the Company further improves such regulations as the Regulations on Local Employee Technical Post and Skill Rating (Trial) and the Post and Rank System for the Local Employees and system building, establishes a skill evaluation and post promotion system in line with local laws, provides channels for employee's career development and post promotion, and enables employees to focus more on their future development and work hard for it. At the same time, the Company recruits Chinese students to join. These measures further promote the process of localization of company management, and achieve good economic and social benefits

Cultivation of university/college students

In 2020, 14 new university/college students became employees in Africa region of Huayou. According to the university/college students training plan formulated by the Group's human resources department and combined with the management characteristics of Africa region, the Report Plan for University/College Students in Africa Region and the Follow-up Management Plan for University/College Students in Africa Region in Probation Period have been formulated. Each department formulates Plan!fs

Colorful activities

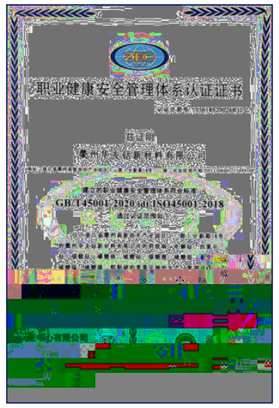


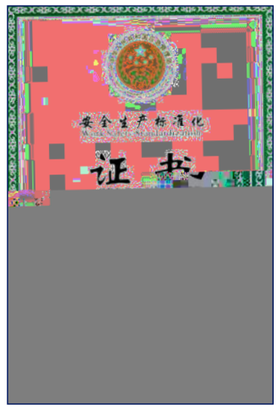
Supreme Safety and Environmental Protection

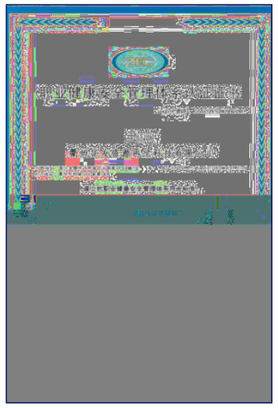
Huayou Cobalt Company Limited deeply implements the concept of safety development, attaches great importance to the physical and mental health and safety of employees, always puts the life, health and safety of employees at the first place, adheres to life first, firmly establishes the concept that development can not be at the expense of human life and health, firmly adheres to the bottom line and red line of safety production, and actively creates a safe, harmonious and healthy working environment.

Improve the building of safety management system

In 2020, the Company pays close attention to the building of safety management system, revises and improves about 64 safety regulations, formulates such regulations as the Regulations on Accountability for Dereliction of Duty by Leaders for Safety and Environmental Protection and so on, standardizes the management processes of EHS inspection, hidden danger management, accident/incident investigation and handling, safety and environmental protection supervision and so on, strengthens the assessment of similar accidents, hidden dangers and dereliction of duty. The basic level safety and environmental protection building guidance service mechanism has been established. Each share-holding subsidiary actively carries out the certification of occupational health and safety management system and the standardization certification of safety production, builds the standardized management system, and continuously improves the safety management level of the Company.







Improve safety organization guarantee

The Company attaches great importance to the safety organization guarantee, establishes EHS committees at all levels from the Group to the industrial group and the subsidiary companies, and has set up safety management organizations, such as the Group safety and environmental protection department, regional safety and environmental protection department, industrial group safety and environmental protection department, subsidiary safety and environmental protection department and branch plant safety and environmental protection section and so on. The production workshops are equipped with full-time/part-time safety personnel, a safety management network covering all levels has been formed. On April 29, the Company successfully held the 2020 safety and environmental protection conference, discussed and deployed major safety and environmental management decisions, signed the objective responsibility promises. Each unit holds a regular safety meeting every month. In 2020, about 77 safety production committee meetings or safety special meetings at different levels were held to discuss major safety production management issues.

Strengthen safety awareness and safety skills

Improving employee safety awareness and safety skills level has always been the focus of the Company’s safety management. The Company focuses on the "three basics building", and actively carries out safety training and education for all employees, and organizes various forms of safety activities, such as training lectures, practical exercises, post practice, skills competition, knowledge contest, team activities, etc. With the official public account, WeChat and QQ groups, publicity board, posters, banners and so on, safety knowledge is publicized extensively. During the "safety production month", the Company issued the Activity Plan for the Safety Production Month and Environmental Day in 2020, and all units actively responded to carry out various forms of theme publicity activities. In 2020, the total time of safety training is about 46722 hours, with about 18604 person times for training. The qualified rate of three-level safety education for new employees is 100%. About 3226 person times of safety training for contractors have been completed, and the qualified rate of special operation personnel with certificates at posts is 100%.

Safety production is the eternal theme of the enterprises. With the continuous progress of project of Huayue Company, safety production activities become more and more necessary. Since the beginning of 2020, the Company has paid close attention to the implementation of safety production activities. Through launching ceremony of safety production month activities, fire drill, regular safety pre-shift meeting, and launching various “epidemic” control measures, the Company has strengthened the safety ideological education of employees, made them bear safety in mind and enhanced their protection awareness.

Launching ceremony of carrying out safety production month activities

Holding fire drill activities

Improve the ability of risk management and control

In 2020, focusing on the building of dual-prevention mechanism, the Company actively carries out risk identification and safety control measures to effectively prevent and resolve the risk of major safety accidents. External consulting units were invited to implement and complete the project of "safety and environmental capability improvement of the Group risk identification and risk control". Special guidance and training were conducted for JSA and HAZOP of subsidiaries, workshops and teams. 215 JSAs and HAZOPs were completed, 404 improvement measures were put forward, and Huayou Company's advanced safety and environmental risk identification and control system has been built. Relying on the mechanism, the Company achieves self-optimization and continuous improvement, improves the level of risk identification and risk control, and cultivates a qualified and high-level risk identification and risk control team for Huayou.

开展安全环保自查自改自防活动

举办消防知识培训活动

Strengthen the investigation and treatment of hidden dangers

In 2020, the hidden danger investigation and treatment has become the main theme of the Company's safety management work. The Regulations on EHS Inspection and Hidden Danger Rectification has been revised to further improve the hidden danger investigation and treatment mechanism. Various units have carried out various forms of safety inspection. Wherein, the main directors of various industrial groups and holding subsidiaries have led the inspection team for 83 times to effectively prevent accidents.

Improve the emergency rescue system

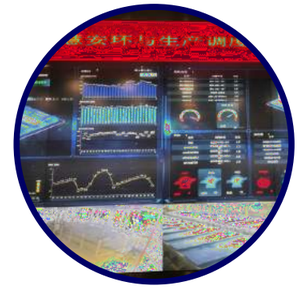
The Company has established a perfect emergency rescue system. A full-time fire brigade has been set up in Quzhou Park, and the fire brigade is equipped with fire vehicles. The fire brigade has achieved good results in the mini-fire station competition of Smart Manufacturing New Town in Quzhou Agglomeration Area, and actively assisted the surrounding units in fighting fire accidents, and has the courage to assume corporate social responsibility. All units have formulated emergency rescue plans, special emergency plans and spot management plans and emergency treatment card for safety production accidents. Emergency rescue equipments (such as light and heavy chemical protective clothing, fire fighting clothing, air respirator, gas mask, etc.) are equipped on spot, and various forms of emergency rescue drills and training are carried out. In 2020, all units of the Company have carried out emergency drills and training for 159 times.

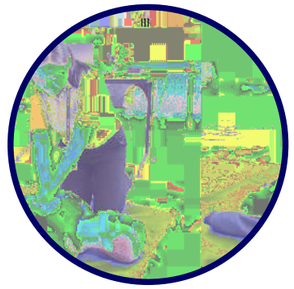
Improve the substantive safety level

Improving the substantive safety level has been the goal of the Company with great efforts; this is a powerful measure to reduce the probability of accidents. The Company implements substantive safety through the whole life cycle of equipment selection, design, manufacturing, installation, commissioning, use, maintenance and scrapping, selects non-toxic and low toxic raw materials to replace toxic materials, eliminates backward equipment and facilities, optimizes the process flow, and establishes a complete and intelligent safety and environmental protection platform. Through the optimized management of human, machine, material, law and environment, the substantive safety management level is continuously improved.

Improve the substantive safety level

Improving the substantive safety level has been the goal of the Company with great efforts; this is a powerful measure to reduce the probability of accidents. The Company implements substantive safety through the whole life cycle of equipment selection, design, manufacturing, installation, commissioning, use, maintenance and scrapping, selects non-toxic and low toxic raw materials to replace toxic materials, eliminates backward equipment and facilities, optimizes the process flow, and establishes a complete and intelligent safety and environmental protection platform. Through the optimized management of human, machine, material, law and environment, the substantive safety management level is continuously improved.





Strengthen occupational health management

In 2020, the Company actively performs the duty of occupational disease prevention and control, regularly carries out the detection of occupational hazard factors, equips personal labor protection articles, carries out occupational health training and publicity, popularizes health knowledge, and does a good job in physical examination of occupational hazard exposed posts. In 2020, the rate of occupational health physical examination is 100%, and no occupational disease occurs.

Epidemic prevention and control

Employees' physical and mental health and medical and health security has always been one of the continuous and key concerns of Huayou as a responsible enterprise. In the face of COVID-19 in 2020, the Company made overall plans for practical epidemic prevention policies, actively purchased and distributed epidemic prevention and control materials, and carried out self-protection knowledge training. The Company was responsible for setting up a factory policy in line with the interests of employees during the period when the Congo (CDR) employees stationed in the African area, ensured that the factory employees had three meals to eat, so as to ease the difficulties and demands of the Congo (CDR) employees. At the same time, the clinic of African company actively undertook the detection, treatment and curing of Chinese and Congo (CDR) employees and their families, carried out double antibody rapid detection for more than 1500 Chinese and Congo employees of the management headquarters and CDM company, further ensured the life, health and safety of employees.

At the site of Huayue project in Indonesia, the Company actively publicized the epidemic prevention knowledge, and took various measures to strengthen the epidemic prevention work and ensure the safety of all the employees at the site. In the critical period of epidemic prevention and control, the closed management has been started since March 29. As for ensuring the food, housing and travel of all employees or as for refining various prevention and control measures, the site strived to be "stable", so that the project construction can be carried out in an orderly manner.

Occupational health and safety indexes for 2018-2020

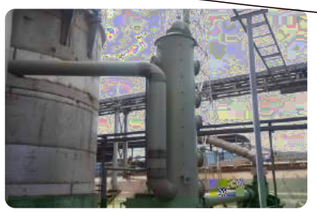
		35	33
		0	0
		0	0
		12,316	30,668
		100	100
		5,045	4,464.09
		0	0

System building and implementation

Emission management

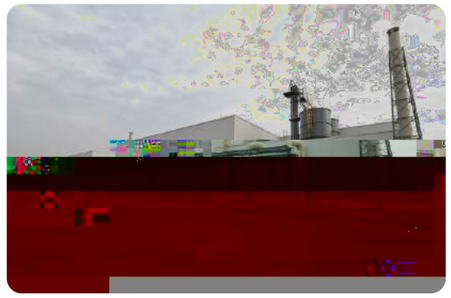
*3 1

Upgrade and replacement of interceptor valves of rainwater interception pools for Quzhou Huayou



In order to improve the standardized management of each operation site, Huayou has actively implemented ISO14001 environmental management system certification throughout the Company, actively carried out clean production and actively promoted green manufacturing. During the reporting period, four companies including Huayou Cobalt, Quzhou Huayou, Quzhou New Energy, and Resource Recycling have passed the environmental management system certification. At the same time, according to the requirements of relevant standards, the Company establishes a series of environmental protection related systems, such as "three wastes" (waste water, waste gas, solid waste) pollution control management and control procedure documents, identifies the environmental impact factors within the scope of the Company, formulates corresponding control measures, clarifies the regulations and requirements of the Company's environmental management work, and realizes environmental improvement, pollution control and effective resource utilization.

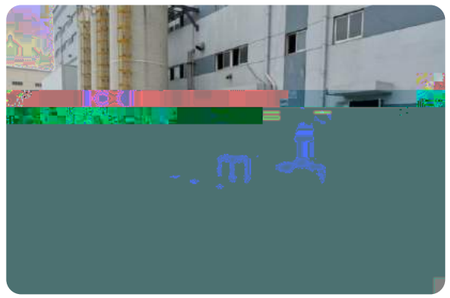
Industrial waste classification of Quzhou Huayou



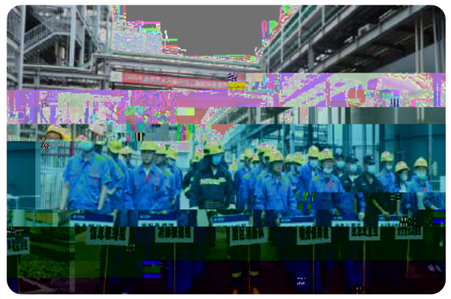
Homogenization and dewatering treatment project of solid waste center of Quzhou Huayou

Emergency plan for environmental emergencies

In order to further improve the Company's ability to prevent and handle environmental emergencies and effectively prevent and reduce pollution hazards and impacts caused by environmental emergencies, the subsidiaries of Huayou have formulated the Emergency Plans for Environmental Emergencies according to relevant laws and regulations and the requirements of environmental departments at all levels. Wherein, the emergency plans of Huayou Cobalt Company Limited., Quzhou Huayou, Quzhou New Energy and Huahai New Energy and Resource Recycling have been put on record, with the record numbers of 330483-2020-089-H, 330802-2020-032-H, 330802-2019-003-M, 330802-2020-002-M and 330802-2020-005-M respectively; Huajin and Huayou Posco plan to complete the plan review, record and training in 2021. During the reporting period, there are no any environmental pollution accident, no environmental disputes and no environmental protection administrative punishment.



Environmental protection drill for hydrogen peroxide leakage of Huahai New Energy



Ethanol deoiling unit leakage drill of Quzhou Huayou

Self-monitoring scheme

According to the relevant laws and regulations and the requirements of environmental departments at all levels on self-monitoring and information disclosure, except for Huajin New Energy Company which has not been officially put into operation, Huayou Cobalt Company Limited, Quzhou Huayou, Quzhou New Energy, Huahai New Energy, Resource Recycling and Huayou Posco have entrusted the qualified third-party monitoring institutions to carry out self-monitoring, and the monitoring items mainly include waste water, waste gas, noise and so on. The monitoring items and frequency meet the requirements of relevant documents, and the monitoring results meet the relevant emission standards.

Environmental indexes for 2018-2020

Index	2018	2019	2020
Purchased power consumption (KWH /year)	310535906	648022665.5	738476207.84
Consumption of purchased steam (KJ/year)	1014676916877	1840370566800	1628902095041
Natural gas consumption (NM3/year)	4296458	6631258	6473499.27
Consumption of ore raw materials (T/year)	1910694	2372498	3843015.01
Water consumption (T/year)	4165860	8049077.63	7816040.45
Water resource reuse (ton/year)	2373706	4843467.11	1949192
Wastewater discharge (T/year)	/	4111766.36	4033560
Quantity of recycled materials (T/year)	/	19171.22	16008
Greenhouse gas emission quantity (T/year)	/	549345.02	439736.65
Total emission of waste gas pollutants (T/year)	/	88.4113	114.27
Comprehensive utilization rate of waste (T/year)	/	38791.05	93814.86
Discharge and treatment capacity of hazardous waste(T/year)	/	4102.815	3936.78
Number of chemical leakage accidents (times/year)	0	11	1
Investment amount for environmental protection (RMB 10000/year)	5027	18832.50	22770.27
Whether it has obtained the environmental management system certification or not	/	Quzhou Huayou: Yes; Quzhou New Energy: Yes; Huahai New Energy: No Huajin: No CDM: No Huayou Posco No Huayou Cobalt: Yes; Resource Regeneration: No MIKAS: No Proportion of certification 33.33%	Quzhou Huayou: Yes; Quzhou New Energy: Yes; Huahai New Energy: No Huajin: No CDM: No Huayou Posco No Huayou Cobalt: Yes; Resource Regeneration: No MIKAS: No Proportion of certification 44.44%
Environmental screening rate of investment projects (%)	/	/	100
Discharge rate of sewage treatment up to standard (%)	100	100	100
Emission rate of waste gas up to standard (%)	100	100	100
Recycled feed used (T/year)	/	14400	7263.58
Greenhouse gas emission intensity data (T/million yuan)	/	/	2932.42
Energy-saving data(not due to production reduction)	/	/	588 tce
Number/amount of government environmental protection fines	/	0	0

Harmonious Coexistence of Industries

Starting from the building of its own corporate social responsibility management system, the Company has continuously improved the requirements for supply chain partners. From the transmission of basic documents (such as the basic supplier code of conduct), the filling of corporate social responsibility checklist to the spot audit of key and core suppliers, the Company is gradually improving the corporate social responsibility management system of supply chain, and contributes to the sustainable development of the industry.

As an important participant in the new energy lithium battery material industry, the Company adheres to the development concepts of innovation, harmony, green, open and sharing, attaches great importance to corporate social responsibility governance and sustainable development, and also attaches great importance to the due diligence management of cobalt supply chain.

The cobalt mining amount in Congo (DRC) of Africa is the largest in the world. Due to the backwardness of politics and economy, human rights risks and people's poverty concerned by the human are widespread in Congo (DRC). Whether the purity and sustainability of the Company's cobalt supply chain can be guaranteed or not is very important for the sustainable development of the whole industrial chain and winning the trust of customers.

Since 2016, Huayou has started to carry out the building of systematic cobalt supply chain due diligence management. Through the efforts in rf to !

The mai m

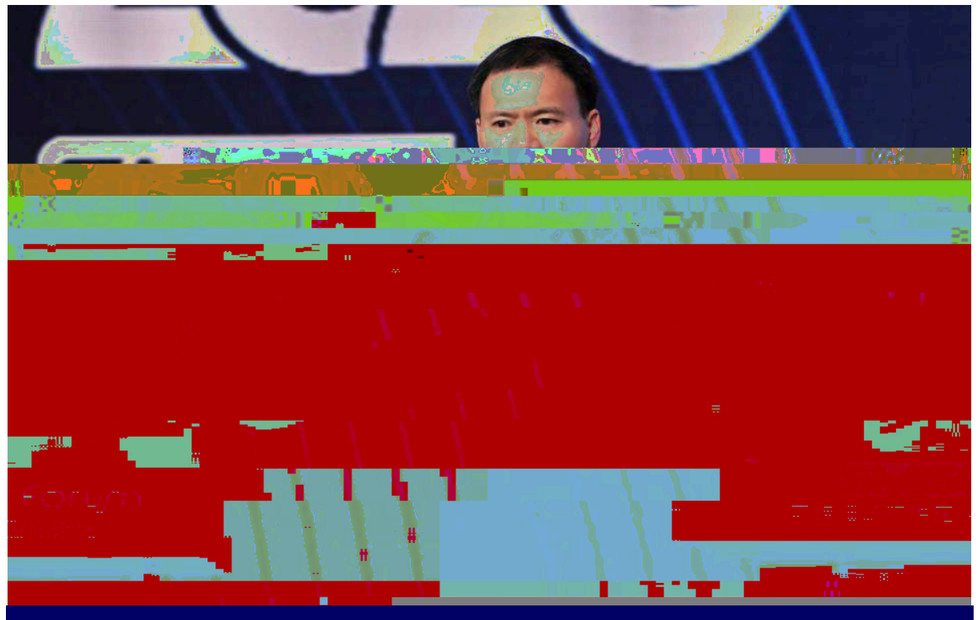
The main risk assessment and mitigation situations are described as follows:

Since April 2020, the Company's Congo (DRC) smelter officially suspended the use of manually mined cobalt as the raw material. At the m φ p ŕ ה ʌ א˯ כ' '

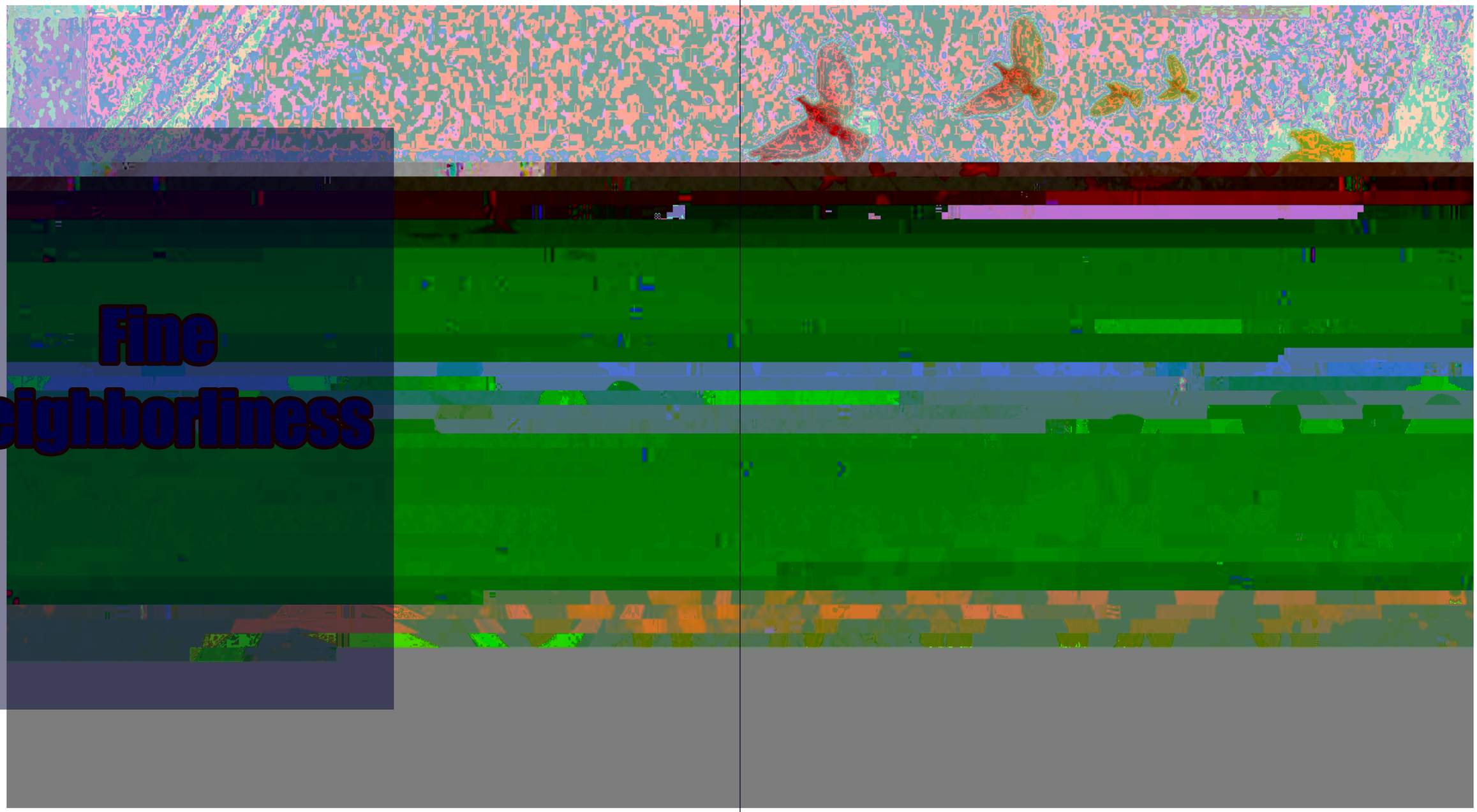
Time		Audit unit	
May, 2020		RCS	Entrusted by the customer
November, 2020		RCS	Entrusted by the customer
November, 2020		RCS	Entrusted by the customer
November, 2020		RCS	Entrusted by the customer
November, 2020		RCS	Entrusted by the customer
November, 2020		RCS	Entrusted by the customer

集团总裁陈红良代表公司出席会议并发表演讲

When the Company carries out due diligence management of cobalt supply chain, the Company also actively conducts communication and exchange with external units, downstream enterprises and industry organizactiv ry



Chen Hongliang, CEO of the Group, attended the meeting and delivered a speech on behalf of the Company


Fine
Neighborliness

In 2020, Huayou Cobalt adheres to the concept of focusing on social responsibility and enterprise develops simultaneously. The larger the enterprise is, the greater the social responsibility is. While taking the green development route, the Company focuses on the epidemic prevention and control work in the whole year, actively promotes donation of epidemic prevention materials, special contributions for epidemic resistance, and such public welfare activities as cooperation and aid, helping students in the autumn and helping others, and shows its social value, and makes its own contribution to the society. The following public welfare and charity activities are mainly carried out in 2020:

Donation of epidemic prevention supplies

After the outbreak of novel coronavirus pneumonia, Huayou took an active action to purchase supplies urgently, and tried its best to help the departments and units that needed help while meeting its own demand. The main contributions were mainly 437889.88 yuan and 30000 dollars by the employees for anti-epidemic. Materials (such as masks and protective clothing) valued in 800000 yuan were donated to the first-line anti-epidemic units, such as the Municipal People's Hospital and traffic police brigade.

Care for special groups

With its own development and growth, Huayou has always been caring for the social vulnerable groups. On January 23, 2020, employees from the labor union and social responsibility office of the Company were appointed to participate in the caring and condolence activities organized by Tongxiang Charity Federation and the Disabled Persons' Federation, and visited 23 convalescent personnel with special diseases in the leprosy hospital of Tongxiang City, offered them a condolence gift worth 6177 yuan.

Wuzhen Longxiang Nursing Home is a pair care unit of Huayou for many years. On January 24, 2020, the Company offered two condolences to the elderly there before the Spring Festival and the Mid-autumn Festival, offered them rich gift bags, pork and festival blessings. The total value of the two condolences was 17866 yuan.

Participate in the "cooperating with Party branch, creating a better life" care project

Under the unified launch of the superior Party committee and the government, the Party organization of the Company carried out the "care project" to express the Company's care for the poor families in the surrounding villages, and offered cash of 5000 yuan, necessities (rice and oil) valued in more than 500 yuan, so that these families could feel the care for the special social groups and from the healthy development of enterprises around them.

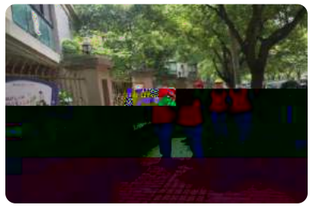
Carry out helping and condolence for employees with special difficulties

On the morning of January 25, Zhang Jianhong, Secretary of the General Party Branch and Chairman of the Labor Union of the Company, organized a condolence group with members of the labor union and the social responsibility office to express sympathy to the 10 employees who were in difficulties due to illness or accident. They listened to their family reports, encouraged them to work at ease, overcome difficulties, and rely on the development of the Company and the help of the labor union to get out of difficulties and strive for family development. After the meeting, they were given New Year's condolence cash and necessities.

For the employees' families with very great difficulties, for two of these families from other places, a consolation fund of 6800 yuan was given, and then a helping fund of 20000 yuan was offered from Huayou love fund for each family, so as to show Huayou's ideas of people-orientation and caring for employees.

Community co-building to promote public welfare

In terms of co-building activities with communities, for this year, Huayou continued to subsidize Baile community with a co-building fund of 20000 yuan for public welfare activities such as building the civilized city, care for the elderly living alone in the community, summer vacation education for children in the community, and organized Party member volunteers to participate in the patrol inspection of the responsible area of the road leader unit and the night safety inspection during the Internet Conference, so as to create a civilized and healthy environment and serve the Internet Conference. The total number of participants reached more than 50 person times.

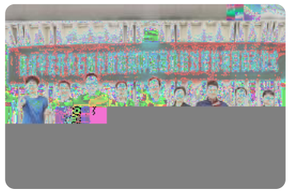


Donate money to help students relying on the named charity fund

Huayou invested 10 million yuan in its name to establish a fund at Tongxiang Charity Association. 500000 yuan of fund interest is paid to the Charity Association every year, and is used for such projects as financial aid for poor university/college students' in autumn led by the Charity Association. On behalf of the Company, the Party, Labor Union and Youth League organizations of Huayou organized to participate in the annual autumn student financial aid activities with more than 10 large-scale enterprises in this City. The annual total student financial aid fund was more than 120000 yuan, making that more than 10 poor students were successfully enrolled by the universities/colleges and realized their dream.

Quzhou

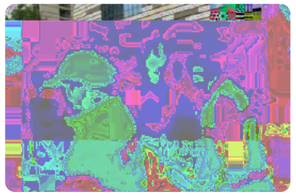
On January 20, the Company carried out activities such as condolences to workers at surrounding villages in key poor villages, school, and old party members, and visited impoverished farmers and elderly.



On May 21, the Chairman of Quzhou Huayou Labor Union and his colleagues went to the new vegetable planting base in Shifan Village of Kaihua to hold the launching ceremony of the pairing assistance project and complete the contract. At the same time, on the eve of Children's Day, they got the information that the students in the Central Primary School of Cuntou Town were short of stationery and sporting goods, Huayou Cobalt donated more than 200 pieces of stationery and porting goods for the Central Primary School of Cuntou Town, bringing care to the students and blessing of the Children's Day.

Since the formal implementation of this work in October 2019, the collective economic level of Shifan village, Cuntou Town, Kaihua County has been greatly improved. From October to December in 2019, 16264.5kg of vegetables and agricultural products were purchased, with a total amount of 74151 yuan; in 2020, 44349kg of vegetables and agricultural products were purchased, with a total amount of 181967 yuan. A total of 60613.5kg of vegetables and agricultural products were purchased in 15 months, with the amount of 256118 yuan. This work is still in progress, has the win-win situations have been achieved!

On the 28 last several days before Children's Day, the Company went to Xinfeng Primary School in Kaihua township and sending goods to them with the Company arranged the children of the Company employees to apply for school scholarship. At present, these students have been successfully enrolled.



On July 6, the Company cooperated with Quzhou Green Industry Cluster Zone to carry out the activity of "caring and cool comfort for sanitation workers in midsummer", donated 580 cases of iced black tea to offer blessings to sanitation workers.

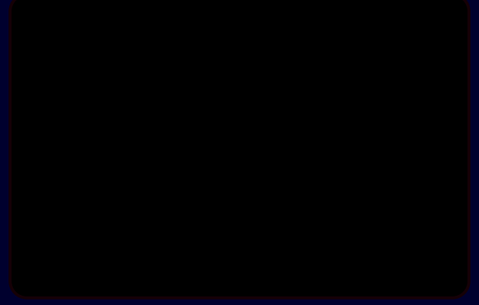
On December 17, Huayou Quzhou Industrial Park Party Committee was awarded the honorary titles of advanced collective against the novel crown pneumonia epidemic in Quzhou and advanced Party grassroots organization in Quzhou.

Overseas

Huayou is committed to effectively solving the problems concerned by the people in the communities of Congo (DRC), creates conditions to promote the Company's mutual benefit without and win-win while participating in new epidemic prevention and control, and fulfilling social responsibility as a corporate citizen. Huayou Cobalt actively responds to the call of the government, actively responds to public calls, provides assistance for the victims, a Government of the town along, providing financial support for other Day, advocates providing donations for poor students and providing financial assistance for the schools in epidemic prevention with the local epidemic prevention and control situation. The Company attended the opening ceremony of epidemic prevention and control and the material donation, such as donating medical masks, disposable gloves and alcohol, etc., to 13 local villages and set up publicity posters on the knowledge of epidemic prevention to 13 local villages organized lectures on epidemic prevention knowledge in 6 local villages and taught the villagers about epidemic prevention knowledge.

Huayou Cobalt Congo (DRC) Kolwezi Shuguang Base

Huayou Cobalt Congo (DRC) Kolwezi Shuguang Base



Huayou Cobalt Congo (DRC) Kolwezi Shuguang Base

Huayou Cobalt Congo (DRC) Kolwezi Shuguang Base

Huayou Cobalt Congo (DRC) Kolwezi Shuguang Base

Huayou Cobalt Congo (DRC) Kolwezi Shuguang Base

GRI Index

GRI Standards	Disclosure	UN SDGs	Page
General Disclosure			
GRI102:General			
Organizational profile			
102-1	Name of the organization		5
102-2	Activities, brands, products, and services		5-6
102-3	Location of headquarters		5
102-4	Location of operations		5-6
102-5	Ownership and legal form		5
102-6	Markets served		6
102-7	Scale of the organization		21
102-8	Information on employees and other workers	SDG10	21
102-9	Supply chain	SDG1、SDG2、SDG4、SDG11	40-46
102-10	Significant changes to the organization and its supply chain		About the report
102-11	Precautionary Principle or approach		13
102-12	External initiatives		13
102-13	Membership of associations		13
Strategy			
102-14	Statement from senior decision-maker		1-2
102-15	Key impacts, risks, and opportunities		13
Ethics and integrity			
102-16	Values, principles, standards, and norms of behavior		14
102-17	Mechanisms for advice and concerns about ethics		14
Governance			
102-18	Governance structure		11-12
102-19	Delegating authority		11
102-20	Executive-level responsibility for economic, environmental, and social topics		12
102-21	Consulting stakeholders on economic, environmental, and social topics		17
102-22	Composition of the highest governance body and its committees		11
102-23	Chair of the highest governance body		11
102-24	Nominating and selecting the highest governance body		11
102-25	Conflicts of interest	SDG16	14
102-26	Role of highest governance body in setting purpose, values, and strategy		11
102-27	Collective knowledge of the highest governance body		11
102-28	Evaluating the highest governance body's performance		11
102-29	Identifying and managing economic, environmental, and social impacts		17-18
102-30	Effectiveness of risk management processes		13
102-31	Review of economic, environmental, and social topics		17
102-32	Highest governance body's role in sustainability reporting		11
102-33	Communicating critical concerns		17
102-34	Nature and total number of critical concerns		18
102-35	Remuneration policies		26
102-36	Process for determining remuneration		Not mentioned
102-37	Stakeholders' involvement in remuneration		Not mentioned
102-38	Annual total compensation ratio	SDG8	26
102-39	Percentage increase in annual total compensation ratio		26
Stakeholder engagement			
102-40	List of stakeholder groups		18
102-41	Collective bargaining agreements		Not mentioned
102-42	Identifying and selecting stakeholders		17
102-43	Approach to stakeholder engagement		17
102-44	Key topics and concerns raised		17
Reporting practice			
102-45	Entities included in the consolidated financial statements		7
102-46	Defining report content and topic Boundaries		About the report
102-47	List of material topics		18
102-48	Restatement of information		Not mentioned
102-49	Changes in reporting		About the report

102-50	Reporting period		About the report
102-51	Date of most recent report		About the report
102-52	Reporting cycle		About the report
102-53	Contact point for questions regarding the report		66
102-54	Claims of reporting in accordance with the GRI Standards		About the report
102-55	GRI content index		53-58
102-56	External assurance		64-65
GRI103:Management Approach			
103-1	Explanation of the material topic and its boundary		About the report
103-2	The management approach and its components		11-18
103-3	Evaluation of the management approach		17
Material Disclosure			
Economics			
GRI201:Economic Performance			
201-1	Direct economic value generated and distributed		7
201-2	Financial implications and other risks and opportunities due to climate change		11
201-3	Defined benefit plan obligations and other retirement plans	SDG8	26
201-4	Financial assistance received from government		7
GRI202:Market Presence			
202-1	Ratios of standard entry level wage by gender compared to local minimum wage	SDG8	26
202-2	Proportion of senior management hired from the local community		21
GRI203:Indirect Economic Impacts			
203-1	Infrastructure investments and services supported	SDG、SDG3	49-52
203-2	Significant indirect economic impacts	SDG1	49-52
GRI204:Procurement Practices			
204-1	Proportion of spending on local suppliers		Not mentioned
GRI205:Anti-Corruption			
205-1	Operations assessed for risks related to corruption		14
205-2	Communication and training about anti-corruption policies and procedures		14
205-3	Confirmed incidents of corruption and actions taken		14
GRI206:Anti-competitive Behavior			
206-1	Legal actions for anti-competitive behavior, anti-trust, and monopoly practices		No lawsuit
Environment			
GRI301：Materials			
301-1	Materials used by weight or volume		7
301-2	Recycled input materials used		38
301-3	Reclaimed products and their packaging materials		Not mentioned
GRI302：Energy			
302-1	Energy Consumption within the organization		38
302-2	Energy consumption outside of the organization		38
302-3	Energy intensity		38
302-4	Reduction of energy consumption		38
302-5	Reduction in energy requirements of products and services		Not mentioned
GRI303：Water			
303-1	Water withdrawal by source		Not applicable
303-2	Water sources significantly affected by withdrawal of water		Not applicable
303-3	Water recycled and reused		38
GRI304:Biodiversity			
304-1	Operational sites owned, leased, managed in, or adjacent to, protected areas and areas of high biodiversity value outside protected areas		Not applicable
304-2	Significant impacts of activities, products, and services on biodiversity		Not applicable
304-3	Habitats protected or restored		Not applicable
304-4	IUCN Red List species and national conservation list species with habitats in areas affected by operations		Not applicable
GRI305:Emissions			
305-1	Direct (Scope 1) GHG emissions		38
305-2	Energy indirect (Scope 2) GHG emissions		38
305-3	Other indirect (Scope 3) GHG emissions		Not mentioned
305-4	GHG emissions intensity		38
305-5	Reduction of GHG emissions		38
305-6	Emissions of ozone-depleting substances (ODS)		Not mentioned
305-7	Nitrogen oxides (Nox), sulfer oxides (SOx), and other significant air emissions		Not mentioned
GRI306：Effluents and Waste			
306-1	Water discharge by quality and destination		38

306-2	Waste by type and disposal method		38
306-3	Significant spills		37
306-4	Transport of hazardous waste		38
306-5	Water bodies affected by water discharges and/or runoff		Not mentioned
GRI307:Environmental Compliance			
307-1	Non-compliance with environmental laws and regulations		36
GRI308:Supplier Environmental Assessment			
308-1	New suppliers that were screened using environmental criteria	SDG12	40
308-2	Negative environmental impacts in the supply chain and actions taken	SDG12	40-46
Society			
GRI401:Employment			
401-1	New employee hires and employee turnover		21
401-2	Benefits provided to full-time employees that are not provided to temporary or part-time employees	SDG3、SDG12	26
401-3	Parental leave		26
GRI402:Labor/Management Relations			
402-1	Minimum notice periods regarding operational changes		Not mentioned
GRI403:Occupational Health and Safety			
403-1	Workers representation in formal joint management-worker health and safety committees		31
403-2	Types of injury and rates of injury, occupational diseases, lost days, and absenteeism, and number of work-related fatalities		35
403-3	Workers with high incidence or high risk of diseases related to their occuption		35
403-4	Health and safety topics covered in formal agreements with trade unions		Not applicable
GRI404:Training and Education			
404-1	Average hours of training per year per employee		22
404-2	Programs for upgrading employee skills and transition assistance programs	SDG8	27-28
404-3	Percentage of employees receiving regular performance and career development reviews		22
GRI405:Diversity and Equal Opportunity			
405-1	Diversity of governance bodies and employees	SDG10	21
405-2	Ratio of basic salary and remuneration of women to men	SDG8	26
GRI406:Non-discrimination			
406-1	Incidents of discrimination and corrective actions taken	SDG10	21
GRI407:Freedom of Association and Collective Bargaining			
407-1	Operations and supplies in which the right to freedom of association and collective bargaining may be at risk		21
GRI408:Child Labor			
408-1	Operations and supplies at significant risk for incidents of child labor	SDG8	21
GRI409:Forced or Compulsory Labor			
409-1	Operations and supplier at significant risk for incidents of forced or compulsory labor	SDG8	21
GRI410:Security Practices			
410-1	Security personnel trained in human rights policies or procedures		All of them
GRI411:Rights of Indigenous Peoples			
411-1	Incidents of violations involving rights of indigenous peoples		None
GRI412:Human Rights Assessment			
412-1	Operations that have been subject to human rights reviews or impact assessments		40-46
412-2	Employee training on human rights policies or procedures		22
412-3	Significant investment agreements and contracts that include human rights clauses or that underwent human rights screening		40
GRI413:Local Communities			
413-1	Operations with local community engagement, impact assessments, and development programs		40-46
413-2	Operations with significant actual and potential negative impacts on local communities	SDG1、SDG3、SDG4、SDG6、SDG8、SDG9、SDG11、SDG16	40-46
GRI414:Supplier Social Assessment			
414-1	New suppliers that were screened using social criteria		40
414-2	Negative social impacts in the supply chain and actions taken		40-46
GRI415:Public Policy			
Political contributions			None
GRI416:Customer Health and Safety			
416-1	Assessment of the health and safety impacts of product and service categories		15
416-2	Incidents of non-compliance concerning the health and safety impacts of products and services		None
GRI417:Marketing and Labeling			
417-1	Requirements for product and service information and labeling		15
417-2	Incidents of non-compliance concerning product and service information and labeling		None
417-3	Incidents of non-compliance concerning marketing communications		None
GRI418:Customer Privacy			
418-1	Substantiated complaints concerning breaches of customer privacyand losses of customer data		None
GRI419:Socioeconomic Compliance			
419-1	Non-compliance with laws and regulations in the social and economic area		None

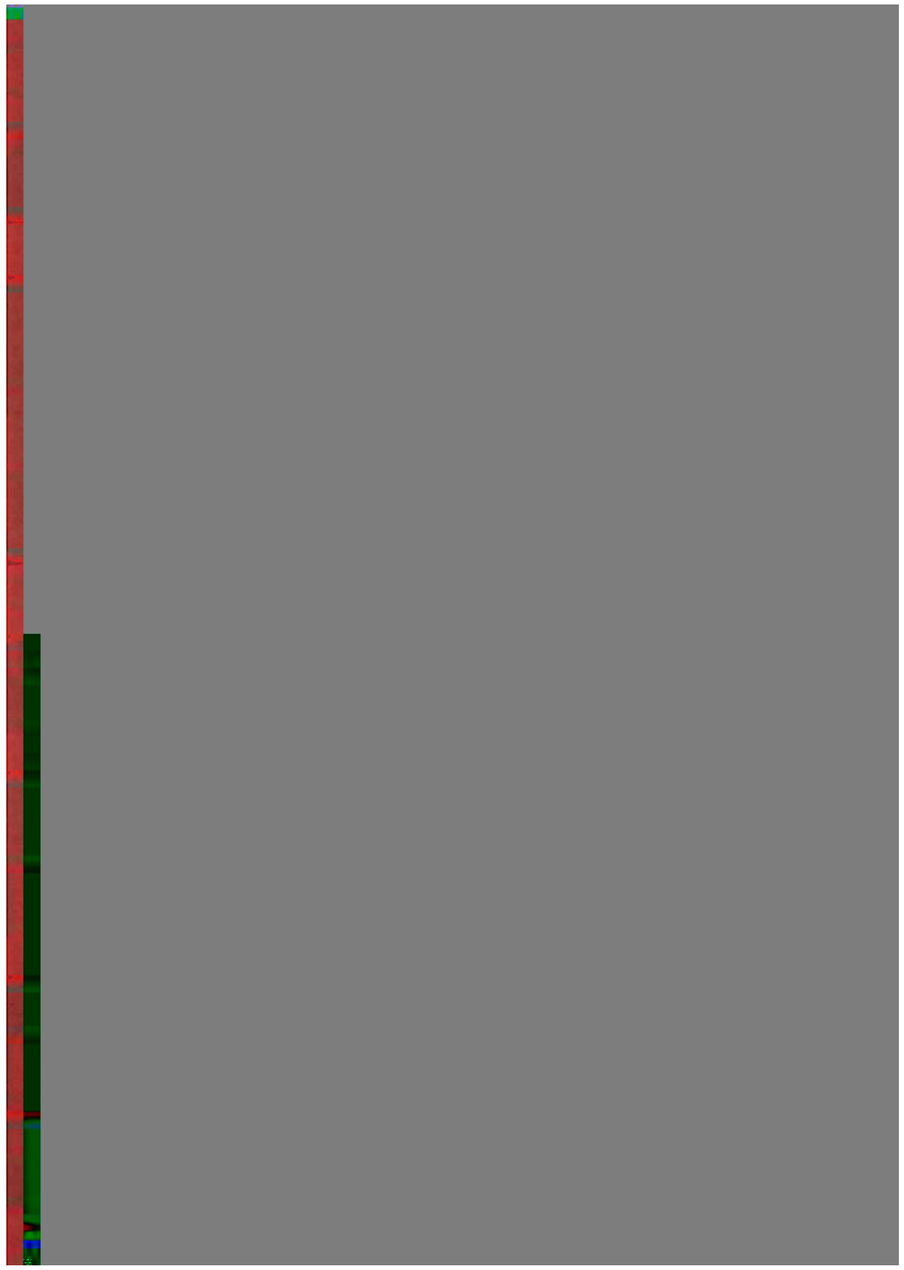
ESG Reporting Guide

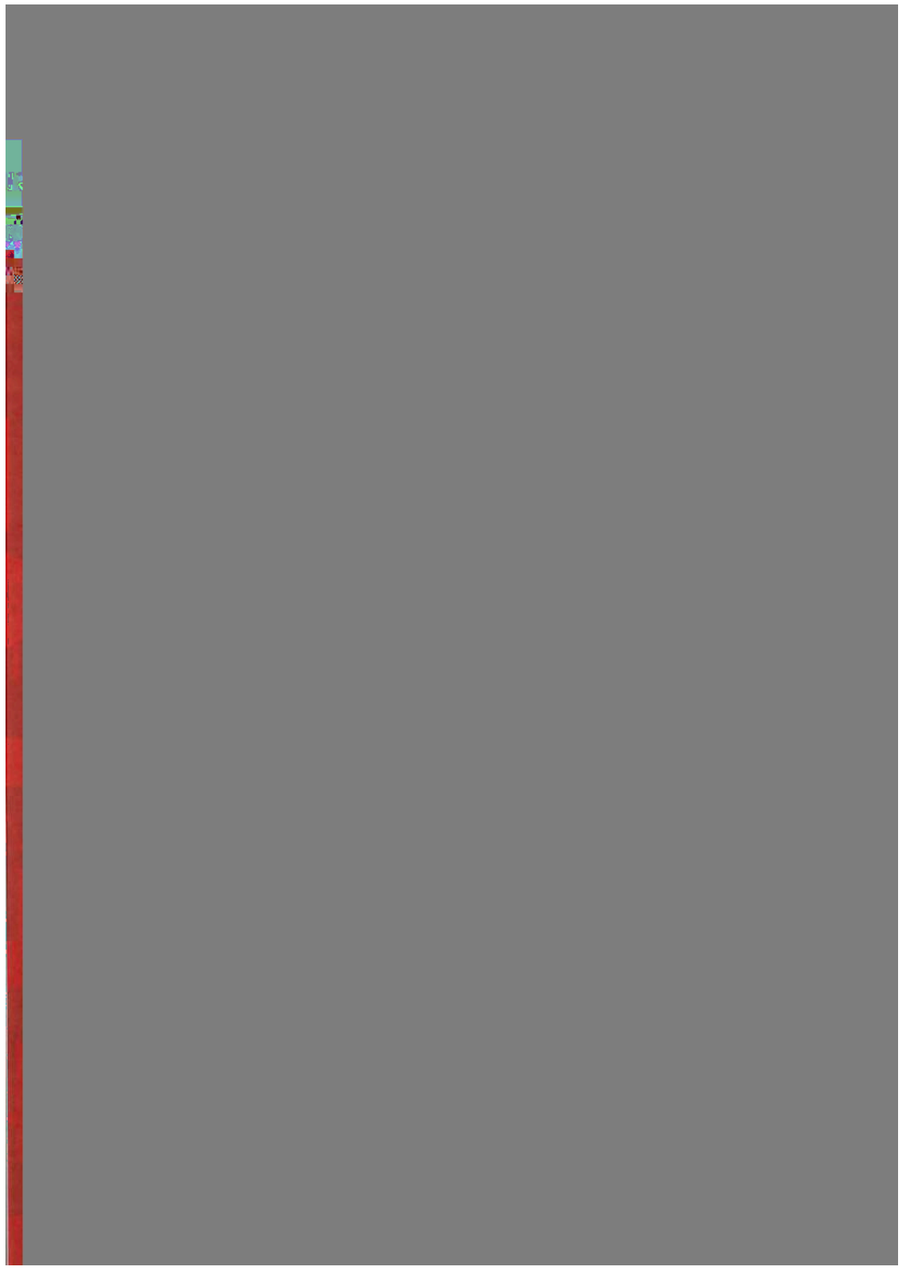
Part A: Introduction	Page
1. This Guide comprises two levels of disclosure obligations: (a) mandatory disclosure requirements; and (b) "comply or explain" provisions.	
2. Mandatory disclosure requirements are set out in Part B of this Guide. An issuer must include such information for the period covered by the ESG report.	
3. "Comply or explain" provisions are set out in Part C of this Guide. An issuer must report on the "comply or explain" provisions of this Guide. If the issuer does not report on one or more of these provisions, it must provide reasons in its ESG report.For guidance on the "comply or explain" approach, issuers may refer to the "What is "comply or explain"?" section of the Corporate Governance Code and Corporate Governance Report ("Corporate Governance Code") in Appendix 14 of the Main Board Listing Rules.	
4. (1) An issuer must disclose ESG information on an annual basis and regarding the same period covered in its annual report. An ESG report may be presented as information in the issuer's annual report, or in a separate report. Regardless of the format adopted, the ESG report should must be published on the Exchange's website and the issuer's website. (2) Where the ESG report does not form a part of the issuer's annual report, to the extent permitted under all applicable laws and regulations and the issuer's own constitutional documents, an issuer is not required to provide printed form of the ESG report to its shareholders irrespective of whether such shareholders have elected to receive the issuer's corporate communication electronically or otherwise under rule 2.07A. (3) The issuer must notify the intended recipient of: (i) the presence of the ESG report on the website; (ii) the address of the website; (iii) the place on the website where it may be accessed; and (iv) how to access the ESG report. (4) of ᵀ M γ ᴀ ᴰ ɔ ᴀ ᴰ p ˡ mitted laws. e ᵀ M γ ibiseEp ᵈd ᵗc ᴀ ɪ M	

Part C: "Comply or explain" Provisions	
A. Environmental	
Aspect A1: Emissions	
KPI A1.1	
KPI A1.2	
KPI A1.3	

2019

Aspect B7: Anti-corruption	General Disclosure	Information on: (a) the policies; and (b) compliance with relevant laws and regulations that have a significant impact on the issuer relating to bribery, extortion, fraud and money laundering.	14
	KPI B7.1	Number of concluded legal cases regarding corrupt practices brought against the issuer or its employees during the reporting period and the outcomes of the cases.	None
	KPI B7.2	Description of preventive measures and whistle-blowing procedures, how they are implemented and monitored.	14
	KPI B7.3	Description of anti-corruption training provided to directors and staff.	14
Community			
Aspect B8: Community Investment	General Disclosure	Policies on community engagement to understand the needs of the communities where the issuer operates and to ensure its activities take into consideration the communities' interests.	49–52
	KPI B8.1	Focus areas of contribution (e.g. education, environmental concerns, labour needs, health, culture, sport).	49–52
	KPI B8.2	Resources contributed (e.g. money or time) to the focus area.	49–52





Reader Feedback

Dear Readers,

Greeting!

Thank you very much for reading the 2020 Corporate Social Responsibility Report of Zhejiang Zhejiang Huayou Cobalt Co., Ltd. If you have any ideas or suggestions for this report, please fill in the following feedback form and send us your feedback form by mail, fax or email. We greatly appreciate your valuable opinions!

Name: _____ **Contact phone:** _____ **E-mail:** _____

1. Which section do you think provides important information for you?

☐ Struggling Huayou ☐ Management Method ☐ A Platform for Achievement

☐ Supreme Safety and Environmental Protection ☐ Harmonious Coexistence of Industries ☐ Fine Neighborliness

2. How do you think about this report?

Legibility	☐ Good	☐ Fair	☐ Bad
Integrity	☐ Good	☐ Fair	☐ Bad
Balance	☐ Good	☐ Fair	☐ Bad
Layout design	☐ Good	☐ Fair	☐ Bad
Overall impression	☐ Good	☐ Fair	☐ Bad

3. Do you have any suggestion for our next annual report?

4. Please contact us:

Address: No. 18 Wuzhen East Road, Tongxiang Economic Development Zone, Zhejiang Province, China
Zip code: 314500
Telephone: +86-573-88589950
Contact person: Social Responsibility Office
E-mail:csr@huayou.com